09-18-02

OTO6 Rec'd PCT/PTO 16 SEP 2002 May 201

Patent Docket 205,511

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

TAKEUCHI et al.

RECEIVED

SERIAL NO.:

10/049,970

SEP 3 0 2002

FILED:

February 11, 2002

TECH CENTER 1600/2900

TITLE:

ANTIBIOTIC CAPRAZAMYCINS AND PROCESS FOR

PRODUCING THE SAME

ATTN:

Customer Service Center

Date: September 16, 2002

Assistant Commissioner of Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

STATEMENT OF FILING BY EXPRESS MAIL 37 C.F.R. § 1.10

This correspondence is being deposited with the United States Postal Service on September 16, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number ET 537 520 008 US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Sir:

It is noted that the filing receipt contains an error in regards to the **International** filing date, which should appear as follows:

August 11, 2000

Toward this end we enclose a copy of the corrected filing receipt and a copy of the Declaration filed with the application to the United States Patent & Trademark Office, which indicates the aforementioned needed correction(s).

Please effect a correction of the Filing Receipt and forward it to us at your earliest convenience.

Respectfully submitted,

Thomas E. Spath Reg. No. 25,928

ABELMAN, FRAYNE & SCHWAB 150 East 42nd Street New York, New York 10017-5612 (212) 949-9022



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING DATE

GRP ART UNIT

FIL FEE REC'D

ATTY.DOCKET.NO

DRAWINGS

IND CLAIMS

10/049.970

05/09/2002

1614

510

205,511

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Abelman Frayne & Schwab 150 East 42nd Street New York, NY 10017-5612



CONFIRMATION NO. 8975

FILING RECEIPT

OC000000008233818

Date Mailed: 06/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an err r is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply RECEIVED

SEP 30 2002

TECH CENTER 160012900 to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tomio Takeuchi, Tokyo, JAPAN; Masayuki Igarashi, Atsugi-shi, JAPAN; Hiroshi Naganawa, Tokyo, JAPAN; Masa Hamada, Tokyo, JAPAN;

D mestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/05415 06/28/2000

F reign Applications

INTERNATIONAL FILING DATE 8/11/2000

JAPAN 11-228866 08/12/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Antibiotic caprazamycins and process for producing the same

Preliminary Class 514



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LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

SEP 3 0 2002 TECH CENTER 1600/2900

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NOT GRANTED

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UNITED STATES PATENT AND TRADEMARK OFFICE

Consissioner for Patents, Box PCT United States Patent and Tradomark Office Vashington, D.C. 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/049,970 Tomio Takeuchi 205,511 INTERNATIONAL APPLICATION NO RECEIVED

SEP 3 0 2002
TECH CENTER 1600/2900 PCT/JP00/05415 I.A. FILING DATE PRIORITY DATE Abelman Frayne & Schwab 06/28/2000 08/12/1999 150 East 42nd Street New York, NY 10017-5612 **CONFIRMATION NO. 8975 371 ACCEPTANCE LETTER**

Date Mailed: 06/06/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/09/2002

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

05/09/2002

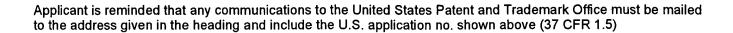
OC000000008233819

DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- · Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination



SHAKEEL AHMED Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)